

# Near Infrared Spectroscopy for the Fruit Qualityanalysis

**Mrs. ManishaVikasBhanuse**  
*Research Scholar, Department of Electronics Engg.,  
Shivaji University, Kolhapur, Maharashtra, India.*

**Prof. Dr. S. B. Patil**  
*Dean III, JJMCOE, Jaysingpur,  
Maharashtra, India*

## Abstract

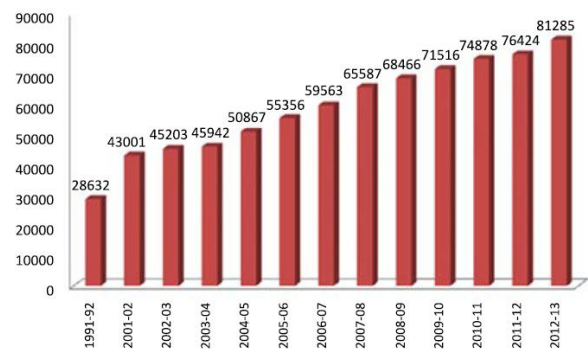
Near infrared spectroscopy (NIR) is increasingly used during basic research performed to better understand complex materials encountered in agricultural, pharmaceuticals, combustion products, astronomy etc. This method is relatively inexpensive, rapid, non-invasive, non-destructive and is able to measure several constituents concurrently. Therefore interest in the application of near infrared spectroscopy to biological material Science has grown in recent times. Current manual methods of fruit quality analysis are dominated by near infrared analysis. This paper intends to review the basic theory of Near Infrared (NIR) Spectroscopy and its applications in the field of fruit quality analysis.

**Keywords:** NIR, wavelength, transmittance, reflectance, multivariate approach, PLS, MLR etc.

## Introduction

India is an agricultural nation and stands prominent among all nations in the production of fruits & vegetables. National Horticulture Board (NHB) [12] estimated year wise fruit production in India in terms of Thousand Million Tones (Graph 1). The fruit and vegetable sector has a vital role in farm income enhancement, poverty alleviation, food security, and sustainable agriculture in India. This sector, however, suffers greatly from postharvest losses. The estimates suggest that about 30–40% of fruits and vegetables are damaged after leaving the farm gate. These huge postharvest losses in India are mainly because of lack of improved technology and instrumentation for getting right information about storage life during ripening and transportation.

The increased awareness and sophistication of consumers have created the expectation for improving quality in fruits. Visual inspection of the fruits by human eyes is a primary method of quality inspection commercially. This method for fruit quality evaluation is time consuming, tedious, and inherently inconsistent and the results may not be reliable due to human errors or inexperienced technicians. Therefore a quick and more reliable fruit quality evaluation system is needed. In view of this, automated fruit quality analysis using machine vision is desirable to achieve fast and objective quality measurement.



Graph 1: Area & Production Growth Trends of fruits in Thousand Million Tones. (Source: Indian Horticulture Database)

Based on image processing and analysis, machine vision using NIR spectroscopy (NIRS) is a novel technology for recognizing objects and extracting quantitative information from digital images[1]. It provides multi-constituent analysis with a very high level of accuracy and precision as compared to conventional methods. Another important advantage of near-infrared analysis is that it doesn't require any sample preparation or manipulation with hazardous chemicals, solvents etc. The recorded NIR spectra contain a variety of chemical and physical information of the sample to be analyzed. The biological constituents of fruits are often complex and therefore require special mathematical procedures for data analysis. This paper provides an overview of the critical factors that are useful and necessary when developing and implementing NIR spectroscopic methods for the assessment of various quality parameters of fruits.

## Working Principle of NIRS

If matter is exposed to electromagnetic radiation, (Fig. 1) e.g. infrared light, the radiation can be absorbed, transmitted, reflected, scattered or undergo photoluminescence.

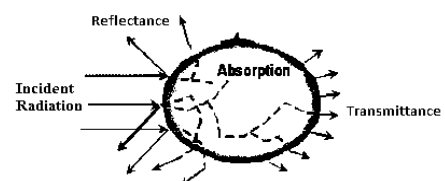


Fig.1 Interaction of organic material with EM Radiations.

The NIR spectrum consists of a number of absorption bands that vary in intensity due to energy absorption by specific functional groups in a sample. NIRS can measure the concentration of components having different molecular structures such as protein, water, or starch in an organic material such as fruit. The NIR spectral region, from 700 to 2500 nm, lies between the visible and mid-infrared regions of the electromagnetic spectrum. NIR spectra consist of overtone and combination bands of the fundamental frequencies in the mid-IR region. NIR energy can easily pass through many organic substances due to its low reflectivity and low absorptivity property.

NIRS technology transfers radiation energy to mechanical energy associated with the motion of atoms held together by chemical bonds in a molecule.

### Methodology

NIRS is much advantageous over visible (Vis) or mid-infrared (MIR) spectroscopy. But NIR spectra are very complex. It consists of many overlapping peaks resulting in broad bands. The chemical, physical, and structural properties of all species present in the fruit sample may affect the spectral measurements. Also, small sample-to-sample differences of a sample series can cause very small spectral differences, i.e., the NIR spectral data obtained is depending on more than one variable simultaneously and thus this data is multivariate. This makes it difficult to interpret NIR spectra visually, assign specific features to specific chemical components or extract information contained in the spectra easily. Therefore, it is necessary to make use of a multivariate approach for the data analysis to filter information that correlates to a certain property from a very big amount of data.

In qualitative and quantitative NIR analysis, the relevant part from the multivariate NIR spectral data is extracted without losing important information and to get rid of unwanted information. Multivariate analysis uses information derived from multiple wavenumbers or wavelengths instead of a single one. And thus the calibration is based on the relationship between the spectral variances at particular wavenumbers or wavelengths and changes in the concentration. Fig. 2 shows the NIR reflectance spectra of banana at different time intervals which will be useful in determining the firmness & moisture content in it.

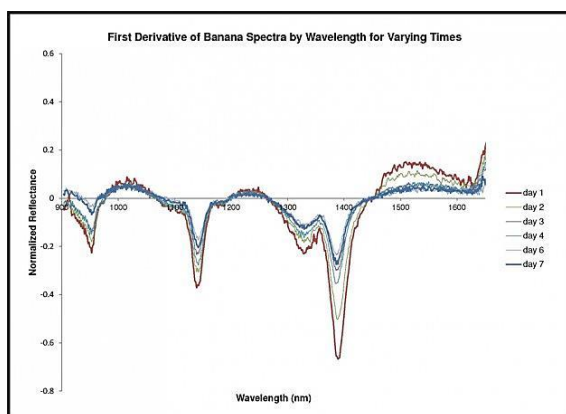


Fig.2: NIR spectral Variation of Banana.

The quality parameters of banana fruit such as moisture content and firmness were measured and the values were used for the development of a prediction model using spectral data. A prediction model between the spectral reflectance and the quality parameters (Moisture content and firmness) of the bananas is developed by using partial least squares (PLS) analysis. The reflectance values at 1148 wavelengths of the fruits were taken as predicting variables X matrix and the quality attributes were taken as dependent variables Y matrix [2]. The PLS models are generally used to set up the multivariate model based on two data sets of the same object/sample, namely spectral and biological values. The PLS can transform the large set of highly correlated experimental data into independent latent variables or factors.

Using the PLS algorithm, the predicted value of the attribute of interest is determined with the help of the wavelength scores, the number of PLS factors, and the regression coefficient. The optimal number of latent variables for establishing the calibration model is determined based on the predicted residual error sum of squares. In fact, it's important to select the wavelengths, which contribute to the quality attribute of the sample. So, the highest absolute value of the coefficients corresponds to the wavelengths obtained from the PLS calibration model, which were selected and used as the optimal wavelengths. Then these selected optimal wavelengths were used to establish multiple linear regression models using MATLAB. MLR can be established with the help of the following expression.

$$Y' = A_0 + \left[ \sum_{n=1}^n A_n * R_n \lambda \right]$$

where, Y' is the predicted value of the quality attribute, n is the number of optimal wavelengths, A<sub>0</sub> and A<sub>n</sub> are the regression coefficients, and R<sub>n</sub>λ is the reflectance at a wavelength k corresponding to the N<sup>th</sup> term in the model.

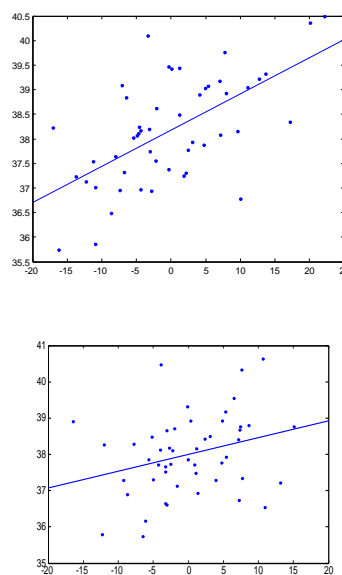


Fig.3 Multivariate analysis for Prediction of parameters

Principal component analysis (PCA) is conducted on the reflectance spectra data to determine the reliability of the selected wavelengths representing different ripening/maturity stages [8]. The PCA transforms the acquired data set into a new coordinate system with the greatest variance of the data set projected in the first coordinate (also called the first principal component) and the second greatest variance on the second coordinate and so on. The PCA is mainly used in dimensional reduction of the acquired data set while retaining the important characteristic, which contributes most of the variance. The average spectral reflectance in the range of 900–1600 nm collected from the banana fruits at different maturity stages from day one to day 7. The banana fruits at day 1, 2 & 3 showed that the moisture content influenced the formation of characteristic absorption bands. The reflectance values were comparatively lower in matured fruits representing the days 4, 5, and 6 & 7 when compared to the banana fruits representing at early stages.

Few of spectral bands showed the water content of the fruit, which clearly defined the variation based on the amount of moisture available in the fruits. Since, the unripe fruit peel had higher moisture and correspondingly the reflection is also higher for the unripe fruit representing days 1 and 2. The reflection was lower in the fruits representing the days 3, 4, 5, and 6 due to lower moisture content in the fruit peel. The overall difference in reflection spectra of the banana fruits might be due to the noticeable changes that took place simultaneously during ripening such as change in firmness and moisture content.

The PLS calibration models were established for the banana fruits using the average spectra of the whole spectral range of 1148 wave bands. The number of latent factors for PLS model for predicting the maturity stages in terms of quality parameters was determined by selecting the lowest value of predicted residual errors sum of squares (PRESS).

### Conclusion:

Banana fruit quality and maturity stages were studied at different times i.e. from day 1 to day 7 by using NIR imaging technique. The quality parameters like moisture content, and firmness are determined and correlated with the spectral data. The spectral data are analyzed using the partial least square analysis. The optimal wavelengths are selected using predicted residual error sum of squares. The principal component analysis is also used to test the variability of the observed data. By using multiple linear regressions (MLR), models were established based on the optimal wave lengths to predict the quality attributes.

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